Electronic Acknowledgement Receipt				
EFS ID:	6611983			
Application Number:	09896061			
International Application Number:				
Confirmation Number:	9465			
Title of Invention:	AUTOMATED EXECUTION SYSTEM HAVING PARTICIPATION			
First Named Inventor/Applicant Name:	David J. Schmitz			
Customer Number:	00757			
Filer:	Kent E. Genin/Magdalena Pieczonka			
Filer Authorized By:	Kent E. Genin			
Attorney Docket Number:	11927/90			
Receipt Date:	10-DEC-2009			
Filing Date:	29-JUN-2001			
Time Stamp:	16:55:50			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1810
RAM confirmation Number	3414
Deposit Account	231925
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1 Miscellaneous Incoming Letter	11927-90_Transmittal.pdf	38514	no	1
		c32f409ca48e521f2e4b8176eb1a9ab24f35 77d6		
2 Issue Fee Payment (PTO-85B)	11927-90_Issue_Fee_Transmitt al.pdf	82512	no	1
		dfa1f53f14ef3f4f71bc99e608ca83ff4705bf5 2		
3 Fee Worksheet (PTO-875)	fee-info.pdf	32255	no	2
		6bad93462a8fde2c8d428ab601090fa4afc3 e3fd		
		·		
	Total Files Size (in bytes)	15	53281	
	Miscellaneous Incoming Letter  Issue Fee Payment (PTO-85B)	Miscellaneous Incoming Letter 11927-90_Transmittal.pdf  Issue Fee Payment (PTO-85B) 11927-90_Issue_Fee_Transmittal.pdf  Fee Worksheet (PTO-875) fee-info.pdf	Message Digest   38514	Miscellaneous Incoming Letter

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.